



3753/6 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : David R. Gottstein
Application No. : 09/507,360
Filed : Feb. 18, 2000
For : COMPUTERIZED SYSTEM AND METHOD FOR OPTIMIZING
AFTER-TAX PROCEEDS INVOLVING OPTIONS

Assistant Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

In response to the Notice to File Missing Parts of Nonprovisional Application, dated April 18, 2000, a signed Declaration Claiming Small Entity Status is enclosed herewith. Accordingly, enclosed please find a check of \$539.00 for the basic filing fee and surcharge. Also enclosed are a signed Declaration and Power of Attorney, Assignment, Assignment Recordation Cover Sheet, and a check for \$40.00 for an assignment fee.

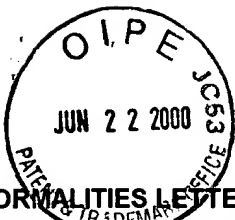
The Commissioner is hereby authorized to charge and any additional fees which may be required or credit any overpayment to deposit account no. 02-4270.

Respectfully submitted,

Date: June 16, 2000

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FORMALITIES LETTER



OC00000005057884

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/507,360	02/18/2000	David R. Gottstein	3753/6 US

Brown Raysman Millstein Felder & Steiner LLP
120 West Forty-Fifth Street
New York, NY 10036

Date Mailed: 04/18/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$258.
 - \$180 for 10 total claims over 20.
 - \$78 for 1 independent claims over 3
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1078.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/23/2000 SSESHE1 00000055 09507360

01 FC:201	345.00 OP
02 FC:203	90.00 OP
03 FC:202	39.00 OP
04 FC:205	65.00 OP